



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>4</sup> : <b>A61K 31/56, 31/70, 47/00 C08B 37/16</b>	A1	(11) International Publication Number: <b>WO 90/01320</b> (43) International Publication Date: <b>22 February 1990 (22.02.90)</b>
(21) International Application Number: <b>PCT/US89/03471</b>		(81) Designated States: DE (European patent), FR (European patent), GB (European patent), NL (European patent).
(22) International Filing Date: <b>14 August 1989 (14.08.89)</b>		<b>Published</b> <i>With international search report.</i>
(30) Priority data: <b>232,440 15 August 1988 (15.08.88) US</b>		
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**(54) Title:** WATER SOLUBLE BRANCHED BETA CYCLODEXTRIN STEROID COMPLEX

**(57) Abstract**

A complex of branched beta cyclodextrin and steroid is formed by mixing a steroid and a branched beta cyclodextrin together in water for a period of about 4 to 24 hours under ambient conditions. The solubility of the steroid is increased about 95 times by employing the complex.

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WATER SOLUBLE BRANCHED  
BETA CYCLODEXTRIN STEROID COMPLEX

This invention relates to a complex of branched beta cyclodextrin and steroid and the use of such a complex to make the steroid water soluble.

Starch occurs naturally in a variety of plants such as corn, potato, sorghum and rice and is extracted from portions of the plant by a milling operation which separates the starch from the plant. Physically the starch is in a granular form which typically comprises both amylose and amylopectin. Amylose is a straight chained polymer of anhydroglucose units bonded together by alpha 1,4 bonds while amylopectin is a polymer composed of a straight chain of alpha 1,4 anhydroglucose onto which side chains of alpha 1,4 anhydroglucose polymers are bonded. In amylopectin, the bond between the straight chain and the side chain is an alpha 1,6 bond. The amount of amylose and amylopectin in a starch granule depends on the source of the starch. For example, high amylose corn starch contains about a 50:50 ratio while waxy corn starch contains about a 99:1 ratio of amylopectin to amylose.

Cyclodextrins, also called Schardingers dextrins, cycloamyloses, cyclomaltoses and cycloglucans, are polymers of anhydroglucose polymers, bonded together by

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alpha 1,4 bonds to form a ringed compound. A six membered ring is called alpha cyclodextrin, seven, beta cyclodextrin and eight, gamma cyclodextrin. These six, seven and eight membered rings are also referred to as 5 cyclomaltohexaose, cyclomaltoheptaose and cyclomaltooctaose, respectively.

Branched cyclodextrins were described as early as 1965 by French and his co-workers, see French et al., "Archives of Biochem. and Biophys.", Volume III, 1965, 10 pages 153-150, but had been studied very little until recently. Branched beta cyclodextrins, as their name implies, have one or more anhydroglucose units bonded onto the ring structure such that a branch extends out from the ring structure. The branch is bonded to the 15 ring by an alpha 1,6 bond where the branched beta cyclodextrin is obtained from an enzymatic process.

Steroids are typically used in aqueous media and ointments as pharmaceutical preparations for treatment of the skin and eyes. Because steroids are highly water 20 insoluble, any aqueous medium containing steroids must be vigorously shaken before application. Steroids are classified as lipids because they are highly water insoluble and are soluble in organic solvents.

In order to increase the water solubility of the 25 steroid, it has been suggested in U.S. Patent No.

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4,383,992 issued May 17, 1983 that a complex of beta cyclodextrin and steroid be formed. It is alleged that such a complex is water soluble. Typically, a complex of a steroid and unsubstituted beta cyclodextrin contains  
5 less than about ½% soluble steroid at ambient conditions.

It has also been suggested that in order to increase the water solubility of a steroid a complex of alpha or gamma cyclodextrin and steroid be formed. Under ambient conditions, the alpha cyclodextrin-steroid solution  
10 typically contains less than about 1% soluble steroid and the gamma cyclodextrin-steroid solution also typically contains less than about 1% soluble steroid.

It has further been suggested that the beta cyclodextrin be chemically modified by reacting the beta cyclodextrin with methyl groups to form dimethyl beta cyclodextrin and then form a complex with a steroid. Such a chemically modified starch is not ideal for pharmaceutical use.  
15

It has now been discovered that branched beta cyclodextrin will form a complex with a steroid and that such a complex is highly water soluble. In fact, it has been found that such a complex may have a solubility up to about 2800 times greater than that of the steroid itself and about 100 times greater than that of the  
25 complex of beta cyclodextrin and steroid.

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These results are surprising because there had been speculation that the branch of anhydroglucose might interfere with or in some way react with the steroid itself and thus inhibit the complexation phenomenon 5 between the steroid and the cavity of branched beta cyclodextrin. This is especially true for branched beta cyclodextrin where the branch length was greater than one anhydroglucose unit. Additionally, it is surprising that the complex of branched beta cyclodextrin and 10 steroid has a solubility comparable to a complex of dimethyl-beta cyclodextrin and steroid. This is surprising because of the difference in chemical structure between the branched beta cyclodextrin and dimethyl-beta cyclodextrin.

15 Further, it is surprising that a complex forms between branched beta cyclodextrin and a steroid because of the hydrophilic nature of the branch itself and the hydrophobic nature of steroids.

Further, it is surprising that crystals of branched 20 beta cyclodextrin and steroid were formed where the branch is one anhydroglucose unit because branched beta cyclodextrin having a branch of one anhydroglucose unit does not form a crystalline structure alone.

Branched beta cyclodextrins are conventionally 25 prepared by treating starch slurry high in amylopectin

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with an enzyme, cyclodextrin glycosyltransferase (CGT), at the appropriate pH, temperature and time for the selected CGT. The starch used for this enzymatic preparation of branched beta cyclodextrin may be from 5 any selected plant variety; however, it is preferable that the starch be high in amylopectin content. The enzyme CGT is obtained from microorganisms such as Bacillus macerans, B. megaterium, B. circulans, B. stearothermophilus and Bacillus sp. (alkalophilic) as 10 well as others. Conventionally, the starch is slurried in aqueous solution at a concentration up to about 35% by weight solids. The slurry is then subjected to gelatinization and liquefaction by enzyme or acid to about 1 to about 5 DE. The preferred enzyme for 15 liquefaction is bacterial alpha amylase. After deactivating the liquefying enzyme, the selected CGT is added to the gelatinized and liquefied slurry and the pH, temperature and time of the treatment are adjusted depending on the selected enzyme. Generally, the pH is 20 between about 4.5 to about 8.5, the temperature ranges between ambient to about 75°C and the length of the reaction runs for about ten hours to seven days. The amount of branched beta cyclodextrin will vary depending on the treatment conditions and the starch selected. 25 In order to produce predominantly beta cyclodextrin

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and branched beta cyclodextrin, the reaction between CGT and the gelatinized and liquefied starch slurry is conducted under a solvent such as toluene or p-xylene. Such solvents substantially facilitate the isolation of  
5 branched beta cyclodextrin.

Normally, when starch is treated with CGT, branched beta cyclodextrins, beta cyclodextrins and other dextrins are formed. In order to obtain branched beta cyclodextrin, the branched beta cyclodextrin must be  
10 separated from the beta cyclodextrin and the other dextrins.

The purification and separation of branched beta cyclodextrin can be successfully accomplished by employing the method taught in co-pending application Serial No.  
15 071,097 filed July 8, 1987, which is incorporated by reference herein. As taught by that reference, branched beta cyclodextrin is separated from beta cyclodextrin and other dextrins by forming a first precipitate and a first liquor from an aqueous first solution containing  
20 branched beta cyclodextrin by the addition of a beta cyclodextrin complexant to the aqueous first solution; recovering the first precipitate; forming a second aqueous solution with the first precipitate; forming a second precipitate and a second liquor from said second solution  
25 by the addition of a beta cyclodextrin complexant to said

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second solution; recovering the second liquor; and, finally, recovering branched beta cyclodextrin from said second liquor. The aqueous starting solution is an aqueous solution containing branched and non-branched 5 beta cyclodextrins such that about 1% or more of the total solids content of the solution is branched beta cyclodextrin and such that the non-branched beta cyclodextrin is in an amount in excess of the branched beta cyclodextrin. Invariably, some beta cyclodextrin 10 will be present with the branched beta cyclodextrin.

Branched beta cyclodextrins are characterized more specifically by the length of or the number of glucose units in the branch. For example, a branched beta cyclodextrin which has a single glucose unit attached 15 to the ringed structure is called 6-alpha-D-glucosylcyclomaltoheptaose or GlcG7, a branched beta cyclodextrin having two glucose units on the branch is referred to as 6-alpha-D-maltosylcyclomaltoheptaose or G2cG7. A branched beta cyclodextrin with three glucose 20 units on the branch is referred to conveniently as G3cG7, four glucose units as G4cG7, and so on.

The branched beta cyclodextrins may also be formed by a pyrolysis method, preferably as taught by the co-pending U.S. application filed concurrently 25 herewith. Such branched beta cyclodextrins

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have branches of anhydroglucose units which are bonded to the ring structure of the beta cyclodextrin by bonds other than a 1,6 bond. Branched beta cyclodextrin can also be formed by enzymatic techniques where  
5 anhydroglucose units are added onto the cyclodextrin ring, see for example U.S. Patent No. 4,668,626 issued May 26, 1987. The term "branched beta cyclodextrin" as used in the specification and claims means branched beta cyclodextrin obtained from enzyme or pyrolysis.

10 Preferably, the branch length of the branched beta cyclodextrins used in the present invention is between about 1 to about 7 glucose units, i.e. GlcG7 to G7cG7. Good results have been obtained with GlcG7 to G3cG7.

15 It is thought that a complex forms between the steroid and the branched beta cyclodextrin where the steroid is a guest molecule inside the cavity of the branched beta cyclodextrin, which is acting as a host molecule. It is thought that not all of the steroid can physically fit inside the cavity of the branched beta  
20 cyclodextrin but that a portion of the polycyclic ring structure fits therein. Upon complexing with the branched beta cyclodextrin, the steroid, which is typically water insoluble, becomes highly water soluble.

Steroids which are suitable for complexing with  
25 branched beta cyclodextrins have a molecular structure

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which allows at least a portion of the steroid's molecular structure to be housed inside the cavity of the branched beta cyclodextrin. Suitable steroids include corticosteroids such as dexamethasone, prednisolone and 5 hydrocortisone; androgens; anabolic steroids; estrogens; and progestagens. Good results have been obtained with hydrocortisone, progesterone and testosterone.

In order to form the complex between the branched beta cyclodextrin and the steroid, an aqueous mixture 10 of steroid and branched beta cyclodextrin is prepared and gently mixed at ambient conditions. Stoichiometrically, the ratio of branched beta cyclodextrin to steroid is about 1:1; however, in order to complex as much of the steroid as possible, preferably an excess of branched 15 beta cyclodextrin is added to the solution. Most preferably, the stoichiometric ratio of branched beta cyclodextrin to steroid is about 2:1, but this will vary with the individual steroid.

Preferably, first an aqueous solution of branched 20 beta cyclodextrin is prepared such that the solution has a solids concentration of between about 10 to about 50% branched beta cyclodextrin based on the total weight of solution. Good results have been obtained when the aqueous solution of branched beta cyclodextrin has a solids 25 concentration of about 14% by weight. This mixing is

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preferably carried out at ambient conditions. In order to prepare this solution, mixing can be employed. To this aqueous solution of branched beta cyclodextrin a steroid is added in the appropriate amount. The overall  
5 mixture of water, branched beta cyclodextrin and steroid is then gently mixed by conventional means while maintaining the mixture at ambient conditions. The mixing proceeds until an equilibrium is obtained, preferably for about 4 to about 24 hours.

10 The complex of branched beta cyclodextrin and steroid is then obtained from the aqueous solution in a conventional manner, typically by evaporation. Alternatively, the complex is left in solution and merely concentrated or evaporated to the appropriate  
15 concentration for use. Crystals of GlcG7 and hydrocortisone may be obtained by concentration to about 30% solids. Upon cooling and standing at 30% solids the complex crystallizes from solution. The material obtained from drying is an equilibrium mixture of complex,  
20 free branched beta cyclodextrin and a trace of free steroid. If crystals are obtained, as is the case with GlcG7 and hydrocortisone, subsequent solutions of the crystalline complex in water may result in precipitation of free steroid unless an appropriate amount of free  
25 branched beta cyclodextrin is present.

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Incorporation of the steroid-branched beta cyclodextrin complex in a pharmaceutical preparation is accomplished in a conventional manner. However, because of the increased solubility of the complex, the steroid  
5 can more readily be incorporated into a pharmaceutical preparation.

These and other aspects of the present invention may be more fully understood by reference to the following examples.

10

Example 1

This example illustrates preparation of a complex of branched beta cyclodextrin and steroid where the steroid is hydrocortisone.

A solution of branched beta cyclodextrin was prepared  
15 to about 14% solids by adding branched beta cyclodextrin to water in the amounts shown below in Table 1. To this solution was added the hydrocortisone in the amounts shown in Table 1 below. The mixture was stirred for about 4 hours and then filtered to remove undissolved  
20 hydrocortisone. The filtrate contained the complex, the free branched beta cyclodextrin and trace free hydrocortisone. This example was run at ambient conditions. Table 1 below further illustrates this example.

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TABLE 1

	<u>Element</u>	<u>Amount (gm)</u>
	Carbohydrate added	1.390
	Free branched beta cyclodextrin added	1.307
5	Free branched beta cyclodextrin after complexation	.413
	Complexed branched beta cyclodextrin	.916
10	Average molecular weight of branched beta cyclodextrin	1328
	Average DP of branch	1.19
	Hydrocortisone complexed in branched beta cyclodextrin	.250
	Free beta cyclodextrin added (impurity)	.083
	Free beta cyclodextrin after complexation	.025
15	Complexed beta cyclodextrin	.058
	Hydrocortisone complexed in beta cyclodextrin	.019
	Free hydrocortisone added	.400
20	Free soluble hydrocortisone after complexation	.002
	Insoluble hydrocortisone after complexation	.129
	Complexation hydrocortisone (.271 - .002) =	.269
25	S/S <sub>0</sub> (S= $\frac{271}{9.46}$ = 28.65; S <sub>0</sub> = .36 mg/ml)	79.57
	Water	8.54 ml
	Solution	9.46 ml
	Total Weight of Complex	1.243
30	Total Weight Hydrocortisone:Branched beta cyclodextrin	1.166

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Table 1 (continued)

Total Weight Hydrocortisone:Beta cyclodextrin .077

Efficiency (assume 1:1 complex) .916/1.307 = .7008

5 The hydrocortisone was a commercially available hydrocortisone having a high purity.

The amount of carbohydrate added, free steroid added, and water were measured using a conventional analytical balance. Chromatographic procedures were used to 10 determine the percentages of branched beta cyclodextrin, beta cyclodextrin and other carbohydrates present in the carbohydrates added. The amount of insoluble steroid after complexation was determined by the difference between the dry weight of the filter paper before 15 filtration and the dry weight of the filter paper after washing to remove excess carbohydrate and drying. The remaining values in the table above were calculated.

The branched beta cyclodextrin was obtained by solvent precipitation and chromatographic separation 20 from a typical CGT digest of 5 DE waxy hydrolysate. The beta cyclodextrin and other carbohydrates which are not branched beta cyclodextrin are impurities which were not fully separated.

The solubility of hydrocortisone in water under 25 ambient conditions has been reported as 0.36 mg/ml.

The observed solubility in water of the branched beta

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cyclodextrin and hydrocortisone complex in this example after concentration was 65.0 mg/ml under ambient conditions. By employing the present invention the solubility of the steroid has been increased by a factor of 181.

5

Example 2

This example illustrates preparation of a complex of branched beta cyclodextrin and steroid where the steroid is hydrocortisone.

A solution of branched beta cyclodextrin was  
10 prepared to about 14% solids by adding branched beta cyclodextrin to water in the amounts shown below in Table 2. To this solution was added the hydrocortisone in the amounts shown in Table 2 below. The mixture was stirred for about 20 hours and then filtered to remove  
15 undissolved hydrocortisone. The filtrate contained the complex, the free branched beta cyclodextrin and trace free hydrocortisone. This example was run at ambient conditions. Table 2 below further illustrates this example.

20

TABLE 2

<u>Element</u>	<u>Amount (gm)</u>
Carbohydrate added	1.400
Free branched beta cyclodextrin added	1.202
Free branched beta cyclodextrin after complexation	.361

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Table 2 (continued)

	Complexed branched beta cyclodextrin	.841
	Average molecular weight of branched beta cyclodextrin	1828
5	Average DP of branch	4.27
	Hydrocortisone complexed in branched beta cyclodextrin	.167
	Free beta cyclodextrin added (impurity)	--
	Free beta cyclodextrin after complexation	--
10	Complexed beta cyclodextrin	--
	Hydrocortisone complexed in beta cyclodextrin	--
	Free hydrocortisone added	.2986
15	Free soluble hydrocortisone after complexation	.0031
	Insoluble hydrocortisone after complexation	.1286
	Complexation hydrocortisone (.1700-.0031) =	.1669
	S/S <sub>0</sub> (S= $\frac{170}{9.52} = 17.86$ ; S <sub>0</sub> = .36 mg/ml)	49.60
20	Water	8.6 ml
	Solution	9.52 ml
	Total Weight of Complex	1.008
	Total Weight Hydrocortisone:Branched beta cyclodextrin	1.008
25	Total Weight Hydrocortisone:Beta cyclodextrin	--

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The hydrocortisone was a commercially available hydrocortisone having a high purity.

The amount of carbohydrate added, free steroid added, and water were measured using a conventional analytical balance. Chromatographic procedures were used to determine the percentages of branched beta cyclodextrin, beta cyclodextrin and other carbohydrates present in the carbohydrates added. The amount of insoluble steroid after complexation was determined by the difference between the dry weight of the filter paper before filtration and the dry weight of the filter paper after washing to remove excess carbohydrate and drying. The remaining values in the table above were calculated.

The branched beta cyclodextrin was obtained by solvent precipitation and chromatographic separation from a typical CGT digest of 5 DE waxy hydrolysate. The beta cyclodextrin and other carbohydrates which are not branched beta cyclodextrin are impurities which were not fully separated.

The solubility of hydrocortisone in water under ambient conditions has been reported as 0.36 mg/ml. The observed solubility in water of the branched beta cyclodextrin and hydrocortisone complex in this example after concentration was 21.4 mg/ml under ambient conditions. By employing the present invention the solubility of the steroid has been increased by a factor of 59.

Example 3

This example illustrates preparation of a complex of branched beta cyclodextrin and steroid where the steroid is progesterone.

5        A solution of branched beta cyclodextrin was prepared to about 14% solids by adding branched beta cyclodextrin to water in the amounts shown below in Table 3. To this solution was added the progesterone in the amounts shown in Table 3 below. The mixture was  
10      stirred for about 20 hours and then filtered to remove undissolved progesterone. The filtrate contained the complex, the free branched beta cyclodextrin and trace free progesterone. This example was run at ambient conditions. Table 3 below further illustrates this  
15      example.

TABLE 3

	<u>Element</u>	<u>Amount (gm)</u>
	Carbohydrate added	1.400
	Free branched beta cyclodextrin added	1.202
20	Free branched beta cyclodextrin after complexation	.677
	Complexed branched beta cyclodextrin	.525
	Average molecular weight of branched beta cyclodextrin	1828
25	Average DP of branch	4.27

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Table 3 (continued)

	Progesterone complexed in branched beta cyclodextrin	.0904
	Free beta cyclodextrin added (impurity)	--
5	Free beta cyclodextrin after complexation	--
	Complexed beta cyclodextrin	--
	Progesterone complexed in beta cyclodextrin	--
	Free progesterone added	.3000
10	Free soluble progesterone after complexation	.00014
	Insoluble progesterone after complexation	.2095
	Complexation progesterone (.0905-.0001) =	.0904
	S/S <sub>0</sub> (S= <u>90.4</u> = 9.50; S <sub>0</sub> = .016 mg/ml)	593.5
		9.52
15	Water	8.6 ml
	Solution	9.52 ml
	Total Weight of Complex	.615
	Total Weight Progesterone:Branched beta cyclodextrin	.615
20	Total Weight Progesterone:Beta cyclodextrin	--
	Efficiency (complexed/total branched beta cyclodextrin)	.437

The progesterone was a commercially available  
25 progesterone having a high purity.

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The amount of carbohydrate added, free steroid added, and water were measured using a conventional analytical balance. Chromatographic procedures were used to determine the percentages of branched beta cyclodextrin, beta cyclodextrin and other carbohydrates present in the carbohydrates added. The amount of insoluble steroid after complexation was determined by the difference between the dry weight of the filter paper before filtration and the dry weight of the filter paper after washing to remove excess carbohydrate and drying.

10 The remaining values in the table above were calculated.

The branched beta cyclodextrin was obtained by solvent precipitation and chromatographic separation from a typical CGT digest of 5 DE waxy hydrolysate.

15 The beta cyclodextrin and other carbohydrates which are not branched beta cyclodextrin are impurities which were not fully separated.

The solubility of progesterone in water under ambient conditions has been reported as 0.016 mg/ml.

20 The observed solubility in water of the branched beta cyclodextrin and progesterone complex in this example after concentration was 44.8 mg/ml under ambient conditions. By employing the present invention the solubility of the steroid has been increased by a factor of 2800.

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Example 4

This example illustrates preparation of a complex of branched beta cyclodextrin and steroid where the steroid is testosterone.

5 A solution of branched beta cyclodextrin was prepared to about 14% solids by adding branched beta cyclodextrin to water in the amounts shown below in Table 4. To this solution was added the testosterone in the amounts shown in Table 4 below. The mixture was stirred for about 20 hours and then filtered to remove undissolved testosterone. The filtrate contained the complex, the free branched beta cyclodextrin and trace free testosterone. This example was run at ambient conditions. Table 4 below further illustrates this example.

15

TABLE 4

	<u>Element</u>	<u>Amount (gm)</u>
	Carbohydrate added	1.400
	Free branched beta cyclodextrin added	1.202
20	Free branched beta cyclodextrin after complexation	.644
	Complexed branched beta cyclodextrin	.558
	Average molecular weight of branched beta cyclodextrin	1828
	Average DP of branch	4.27
25	Testosterone complexed in branched beta cyclodextrin	.088
	Free beta cyclodextrin added (impurity)	--

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Table 4 (continued)

	Free beta cyclodextrin after complexation	--
	Complexed beta cyclodextrin	--
5	Testosterone complexed in beta cyclodextrin	--
	Free testosterone added	.303
	Free soluble testosterone after complexation	.00034
	Insoluble testosterone after complexation	.215
	Complexation testosterone	(.088-.000) = .088
10	S/S <sub>0</sub> (S= $\frac{88}{9.52}$ = 9.24; S <sub>0</sub> = .040 mg/ml)	231.1
	Water	8.6 ml
	Solution	9.52 ml
	Total Weight of Complex	.646
15	Total Weight Testosterone:Branched beta cyclodextrin	.646
	Total Weight Testosterone:Beta cyclodextrin	--
20	Efficiency Complexed:Total Branched beta cyclodextrin	.464

The testosterone was a commercially available  
testosterone having a high purity.

The amount of carbohydrate added, free steroid added,  
and water were measured using a conventional analytical  
balance. Chromatographic procedures were used to

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determine the percentages of branched beta cyclodextrin,  
beta cyclodextrin and other carbohydrates present in the  
carbohydrates added. The amount of insoluble steroid  
after complexation was determined by the difference  
5 between the dry weight of the filter paper before  
filtration and the dry weight of the filter paper after  
washing to remove excess carbohydrate and drying. The  
remaining values in the table above were calculated.

The branched beta cyclodextrin was obtained by  
10 solvent precipitation and chromatographic separation  
from a typical CGT digest of 5 DE waxy hydrolysate.  
The beta cyclodextrin and other carbohydrates which  
are not branched beta cyclodextrin are impurities which  
were not fully separated.

15 The solubility of testosterone in water under  
ambient conditions has been reported as 0.040 mg/ml.  
The observed solubility in water of the branched beta  
cyclodextrin and testosterone complex in this example  
after concentration was 39.8 mg/ml under ambient conditions.  
20 By employing the present invention the solubility of the  
steroid has been increased by a factor of 995.

#### Example 5

This example illustrates preparation of a complex  
of branched beta cyclodextrin and steroid where the

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steroid is hydrocortisone.

A solution of branched beta cyclodextrin was prepared to about 14% solids by adding branched beta cyclodextrin to water in the amounts shown below in Table 5. To this solution was added the hydrocortisone in the amounts shown in Table 5 below. The mixture was stirred for about 21 hours and then filtered to remove undissolved hydrocortisone. The filtrate contained the complex, the free branched beta cyclodextrin and trace free hydrocortisone. This example was run at ambient conditions. Table 5 below further illustrates this example.

TABLE 5

	<u>Element</u>	<u>Amount (gm)</u>
15	Carbohydrate added	1.970
	Free branched beta cyclodextrin added	1.604
	Free branched beta cyclodextrin after complexation	.481
	Complexed branched beta cyclodextrin	1.123
20	Average molecular weight of branched beta cyclodextrin	1867
	Average DP of branch*	4.52
	Hydrocortisone complexed in branched beta cyclodextrin	.218
25	Free beta cyclodextrin added (impurity)	.090
	Free beta cyclodextrin after complexation	.023
	Complexed beta cyclodextrin	.071

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Table 5 (continued)

	Hydrocortisone complexed in beta cyclodextrin	.023
	Free hydrocortisone added	.545
5	Free soluble hydrocortisone after complexation	.0044
	Insoluble hydrocortisone after complexation	.299
	Complexation hydrocortisone (.246-.004) =	.242
10	S/S <sub>0</sub> (S= <u>246</u> = 18.36; S <sub>0</sub> = .36 <u>mg/ml</u> ) 13.40	51.00
	Water	12.101 ml
	Solution	13.40 ml
	Total Weight of Complex	1.435
15	Total Weight Hydrocortisone:Branched beta cyclodextrin	1.341
	Total Weight Hydrocortisone:Beta cyclodextrin	.094

The branched beta cyclodextrin was isolated from  
pyrolyzed branched beta cyclodextrin by solvent  
precipitation and chromatographic separation.

The hydrocortisone was a commercially available  
hydrocortisone having a high purity.

The amount of carbohydrate added, free steroid

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added, and water were measured using a conventional analytical balance. Chromatographic procedures were used to determine the percentages of branched beta cyclodextrin, beta cyclodextrin and other carbohydrates 5 present in the carbohydrates added. The amount of insoluble steroid after complexation was determined by the difference between the dry weight of the filter paper before filtration and the dry weight of the filter paper after washing to remove excess carbohydrate and drying.

10 The remaining values in the table above were calculated.

The solubility of hydrocortisone in water under ambient conditions has been reported as 0.36 mg/ml. The observed solubility in water of the branched beta cyclodextrin and hydrocortisone complex in this example 15 after concentration was 87.1 mg/ml under ambient conditions. By employing the present invention the solubility of the steroid has been increased by a factor of 242.

#### Example 6

20 This example illustrates preparation of a complex of branched beta cyclodextrin and steroid where the steroid is hydrocortisone.

A solution of branched beta cyclodextrin was prepared to about 14% solids by adding branched beta cyclodextrin to water in the amounts shown below in

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Table 6. To this solution was added the hydrocortisone in the amounts shown in Table 6 below. The mixture was stirred for about 4 hours and then filtered to remove undissolved hydrocortisone. The filtrate contained the complex, the free branched beta cyclodextrin and trace free hydrocortisone. This example was run at ambient conditions. Table 6 below further illustrates this example.

TABLE 6

	<u>Element</u>	<u>Amount (gm)</u>
10	Carbohydrate added	1.670
	Free branched beta cyclodextrin added	1.635
	Free branched beta cyclodextrin after complexation	.489
15	Complexed branched beta cyclodextrin	1.146
	Average molecular weight of branched beta cyclodextrin	4035
	Average DP of branch	17.9
20	Hydrocortisone complexed in branched beta cyclodextrin	.103
	Free beta cyclodextrin added (impurity)	--
	Free beta cyclodextrin after complexation	--
	Complexed beta cyclodextrin	--
25	Hydrocortisone complexed in beta cyclodextrin	--
	Free hydrocortisone added	.150
	Free soluble hydrocortisone after complexation	.004

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Table 6 (continued)

	Insoluble hydrocortisone after complexation .043
	Complexation hydrocortisone (.107-.004) = .103
5	S/S <sub>0</sub> (S= <u>107</u> / <u>11.4</u> = 9.39; S <sub>0</sub> = .36 <u>mg/ml</u> ) 26.07
	Water 10.3 ml
	Solution 11.4 ml
	Total Weight of Complex 1.249
10	Total Weight Hydrocortisone:Branched beta cyclodextrin 1.249
	Total Weight Hydrocortisone:Beta cyclodextrin --
	Efficiency (assume 1:1 complex) 1.146/1.635 .7009

15       The hydrocortisone was a commercially available hydrocortisone having a high purity.

15       The amount of carbohydrate added, free steroid added, and water were measured using a conventional analytical balance. Chromatographic procedures were used to 20 determine the percentages of branched beta cyclodextrin, beta cyclodextrin and other carbohydrates present in the carbohydrates added. The amount of insoluble steroid after complexation was determined by the difference between the dry weight of the filter paper before 25 filtration and the dry weight of the filter paper after washing to remove excess carbohydrate and drying. The remaining values in the table above were calculated.

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The branched beta cyclodextrin was obtained by solvent precipitation and chromatographic separation from a typical CGT digest of 5 DE waxy hydrolysate. The beta cyclodextrin and other carbohydrates which 5 are not branched beta cyclodextrin are impurities which were not fully separated.

The solubility of hydrocortisone in water under ambient conditions has been reported as 0.36 mg/ml. The observed solubility in water of the branched beta 10 cyclodextrin and hydrocortisone complex in this example after concentration was 53.6 mg/ml under ambient conditions. By employing the present invention the solubility of the steroid has been increased by a factor of 149.

#### Example 7

15 This example illustrates preparation of a complex of branched beta cyclodextrin and steroid where the steroid is cholesterol.

A solution of branched beta cyclodextrin was prepared to about 14% solids by adding branched beta 20 cyclodextrin to water in the amounts shown below in Table 7. To this solution was added the cholesterol in the amounts shown in Table 7 below. The mixture was stirred for about 20 hours and then filtered to remove undissolved cholesterol. The filtrate contained the

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complex, the free branched beta cyclodextrin and trace free cholesterol. This example was run at ambient conditions. Table 7 below further illustrates this example.

5

TABLE 7

	<u>Element</u>	<u>Amount (gm)</u>
	Carbohydrate added	1.400
	Free branched beta cyclodextrin added	1.202
10	Free branched beta cyclodextrin after complexation	1.037
	Complexed branched beta cyclodextrin	.165
	Average molecular weight of branched beta cyclodextrin	1828
	Average DP of branch	4.27
15	Cholesterol complexed in branched beta cyclodextrin	.035
	Free beta cyclodextrin added (impurity)	--
	Free beta cyclodextrin after complexation	--
	Complexed beta cyclodextrin	--
20	Cholesterol complexed in beta cyclodextrin	--
	Free cholesterol added	.300
	Free soluble cholesterol after complexation	.00002
25	Insoluble cholesterol after complexation	.265
	Complexation cholesterol (.035-.000) = .035	
	S/S <sub>0</sub> (S= $\frac{35}{9.52} = 3.68$ ; S <sub>0</sub> = .002 mg/ml)	1838
	Water	8.6 ml

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Table 7 (continued)

Solution	9.52 ml
Total Weight of Complex	.200
5 Total Weight Cholesterol:Branched beta cyclodextrin	.200
Total Weight Cholesterol:Beta cyclodextrin	--
Efficiency	.137

The cholesterol was a commercially available  
10 cholesterol having a high purity.

The amount of carbohydrate added, free steroid  
added, and water were measured using a conventional  
analytical balance. Chromatographic procedures were  
used to determine the percentages of branched beta  
15 cyclodextrin, beta cyclodextrin and other carbohydrates  
present in the carbohydrates added. The amount of  
insoluble steroid after complexation was determined by  
the difference between the dry weight of the filter paper  
before filtration and the dry weight of the filter paper  
20 after washing to remove excess carbohydrate and drying.  
The remaining values in the table above were calculated.

The branched beta cyclodextrin was obtained by  
solvent precipitation and chromatographic separation  
from a typical CGT digest of 5 DE waxy hydrolysate.  
25 The beta cyclodextrin and other carbohydrates which

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are not branched beta cyclodextrin are impurities which were not fully separated.

The solubility of cholesterol in water under ambient conditions has been reported as 0.002 mg/ml.

5 The observed solubility in water of the branched beta cyclodextrin and cholesterol complex in this example was 3.7 mg/ml under ambient conditions. By employing the present invention the solubility of the steroid has been increased by a factor of 1838.

10

Example 8

This example compares the solubility of the branched beta cyclodextrin-steroid complex of the present invention against like steroids complexed with gamma cyclodextrin, alpha cyclodextrin, beta cyclodextrin, and dimethyl beta cyclodextrin. The gamma, alpha, and dimethyl beta cyclodextrin complexes were prepared under ambient conditions with cyclodextrins at a concentration of 0.075 M. Because of the low solubility of beta cyclodextrin, the concentration of this solution was 15 0.0112 M in water under ambient conditions. The results 20 of this example are reported in Table 8 below.

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Table 8

	<u>Methyltestosterone (a)</u>	<u>Solubility (mg/ml)</u>	
	<u>Testosterone (b)</u>	<u>Progesterone</u>	<u>Hydrocortisone</u>
Present Invention	10.03 (b) (Example 4)	10.32 (Example 3)	20.66 (Example 1)
Dimethyl Beta Cyclodextrin	13.70 (a)	13.00	20.30
Gamma Cyclodextrin	1.40 (a)	0.09	4.30
Alpha Cyclodextrin	0.43 (a)	0.27	3.80
Beta Cyclodextrin	0.19 (a)	0.09	1.82

## III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SEC ND SHEET)

Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
Y	JP,A, 62-164701 (SHOKUHIN SANGYO BIO) 21 July 1987 (Abstract)	1-10
X	JP,A, 62-281855 (DAIKIN KOGYO KK) 07 DECEMBER 1987 (Abstract)	1-10
X	JP,A, 63-135402 (TOKUYAMA SODA KK) 07 June 1988 (Abstract)	1-10
X - P	Chemical Abstracts, Volume 110, no. 14, Published 03 April 1989, (Columbus, Ohio), K. KOIZUMI ET AL, "Pharmaceutical com- positions containing glucosylated alpha, beta, or gamma-cyclodextrins to improve water-solubility of drugs," page 441, abstract no. 121385 c, Jpn. Kokai Tokkyo Koho JP63-27440, 05 February 1988, Appl. 86/168015 18 July 1986, 7pp.	1-10

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

Y, P	US, A, 4,840,679 (AMMERAAL ET AL) 20 June 1989 (Abstract and columns 1-10)	1-10
Y	GB, A, 2,109,381 (STADLER ET AL) 02 June 1983 (pages 1-11)	1-10
A	WO, A, 85/02767 (MULLER ET AL) 04 July 1985 (entire document)	1-10
Y	JP, A, 62-123196 (NISSHIN FLOUR MILL KK) 04 June 1987 (Abstract)	1-10

V.  OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE<sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1.  Claim numbers \_\_\_\_\_ because they relate to subject matter<sup>12</sup> not required to be searched by this Authority, namely:

2.  Claim numbers \_\_\_\_\_, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out<sup>13</sup>, specifically:

3.  Claim numbers \_\_\_\_\_, because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI.  OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING<sup>2</sup>

This International Searching Authority found multiple inventions in this international application as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.

2.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4.  As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- The additional search fees were accompanied by applicant's protest.
- No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US89/03471

## I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) <sup>6</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC  
 IPC(4): A61K 31/56, 31/70, 47/00; C08B 37/16  
 USCI: 514/26, 58; 536/5, 103

## II. FIELDS SEARCHED

Classification System	Minimum Documentation Searched <sup>7</sup>	
		Classification Symbols
U.S.	514/26, 58	
	536/5, 103	

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>

Chemical Abstracts: cyclodextrins and steroids

## III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>9</sup>

Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
A	US,A, 4,303,787 (HORIKOSHI ET AL) 01 December 1981 (entire document)	1-10
Y	US,A, 4,383,992 (LIPARI) 17 May 1983 (Abstract and columns 1-8)	1-10
A	US,A, 4,555,504 (JONES) 26 November 1985 (Abstract and columns 1-6)	1-10
X	US,A, 4,596,795 (PITHA) 24 June 1986 (Abstract and columns 1-6)	1-10
Y	US,A, 4,668,626 (KOBAYASHI ET AL) 26 May 1987 (Abstract and columns 1-8)	1-10
A,P	US,A, 4,834,985 (ELGER ET AL) 30 May 1989 (entire document)	1-10

\* Special categories of cited documents: <sup>10</sup>

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

19 October 1989

International Searching Authority

ISA/US

15 NOV 1989

Signature of Authorized Officer

Nancy Carson

6. A method for increasing the solubility of a steroid comprising the steps of:

- (a) forming an aqueous mixture of branched beta cyclodextrin and steroid in a stoichiometric ratio of about 1:1; and
- (b) recovering a complex of branched beta cyclodextrin and steroid.

7. The method of claim 6 wherein the formation of the aqueous mixture of branched beta cyclodextrin and steroid is conducted under ambient conditions.

8. The method of claim 6 wherein the formation step is accomplished by adding branched beta cyclodextrin to water and then adding the steroid and mixing the branched beta cyclodextrin and steroid in water for a period of about 4 to about 24 hours under ambient conditions.

9. The method of claim 6 wherein the recovery step is accomplished by evaporation.

10. The method of claim 8 wherein the recovery step is accomplished by evaporation.

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What is claimed is:

1. A water soluble compound formed by complexing branched beta cyclodextrin with a steroid having a molecular structure that can fit into the cavity of the branched beta cyclodextrin and form a complex with the branched beta cyclodextrin.
2. The water soluble compound of claim 1 wherein the steroid is selected from the group consisting of: corticosteroids, androgens, anabolic steroids, estrogens, and progestagens; that have a molecular structure that can fit into the cavity of the branched beta cyclodextrin and form a complex with the branched beta cyclodextrin.
3. The water soluble compound of claim 1 wherein the steroid is selected from the group consisting of: dexamethasone, prednisolone and cholesterol.
4. The water soluble compound of claim 1 wherein the branched beta cyclodextrin has a branch containing between about 1 glucose unit to about 7 glucose units.
5. The water soluble compound of claim 3 wherein the branched beta cyclodextrin has a branch containing between about 1 glucose unit to about 7 glucose units.

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Clearly the present invention produces superior results compared to the gamma and beta cyclodextrin and comparable results to dimethyl beta cyclodextrin.

The values of the dimethyl beta, gamma and alpha  
5 cyclodextrin steroid complex were taken from reported data.

The values of the present invention reported above were calculated from Examples 1, 3 and 4 above for comparative purposes.

10 It will be understood that the preferred embodiments of the present invention herein chosen for the purpose of illustration are intended to cover all changes and modifications of the preferred embodiments of the present invention which do not constitute a departure from the  
15 spirit and scope of the present invention.